



*ITW*

Atty. Dkt. No. 076333-0417

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Hong Koo KIM et al.  
Title: SURFACE PLASMON-  
ENHANCED NANO-OPTIC  
DEVICES AND METHODS OF  
MAKING SAME  
Appl. No.: 10/566,946  
International Filing Date: 7/22/2004  
371(c) Date: 8/14/06  
Examiner: Francis M. Legasse Jr.  
Art Unit: 2878  
Confirmation Number: 3063

**AMENDMENT TRANSMITTAL**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

☒ [ X ] Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a previous assertion of Small Entity status.

☐ [ ] Assertion of Small Entity status is enclosed.

☒ The fee required for additional claims is calculated below:

	Claims As Amended		Previously Paid For		Extra Claims Present		Rate		Additional Claims Fee
Total Claims:	24	-	23	=	1	x	\$50.00	=	\$50.00
Independent Claims:	3	-	3	=	0	x	\$210.00	=	\$0.00
First presentation of any Multiple Dependent Claims:						+	\$370.00	=	\$0.00
CLAIMS FEE TOTAL									= \$50.00

☐ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

<input type="checkbox"/> Extension for response filed within the first month:	\$120.00	\$0.00
<input type="checkbox"/> Extension for response filed within the second month:	\$460.00	\$0.00
<input type="checkbox"/> Extension for response filed within the third month:	\$1,050.00	\$0.00
<input type="checkbox"/> Extension for response filed within the fourth month:	\$1,640.00	\$0.00
<input type="checkbox"/> Extension for response filed within the fifth month:	\$2,230.00	\$0.00
EXTENSION FEE TOTAL:		\$0.00
<input type="checkbox"/> Statutory Disclaimer Fee under 37 C.F.R. 1.20(d):	\$130.00	\$0.00
CLAIMS, EXTENSION AND DISCLAIMER FEE TOTAL:		\$50.00
<input checked="" type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):		\$0.00
Extension Fees Previously Paid:		\$0.00
TOTAL FEE:		\$25.00

The required fees are being paid via the credit card form submitted herewith.

If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

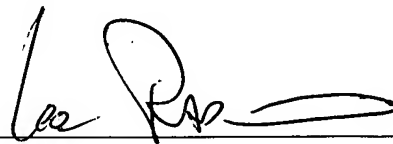
Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date

4/22/08

By



FOLEY & LARDNER LLP

Customer Number: 22428

Telephone: (202) 945-6090

Facsimile: (202) 672-5399

Leon Radomsky

Attorney for Applicant

Registration No. 43,445



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Title: **SURFACE PLASMON-ENHANCED  
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International  
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Number: 3063

**AMENDMENT AND REPLY UNDER 37 CFR § 1.111**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This communication is responsive to the Non-Final Office Action dated January 22, 2008, concerning the above-referenced patent application.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this document.

**Remarks/Arguments** begin on page 8 of this document.

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